# AN OVERRING-THEORETIC APPROACH TO POLYNOMIAL EXTENSIONS OF STAR AND SEMISTAR OPERATIONS

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ABSTRACT. Call a semistar operation  $\circledast$  on the polynomial domain D[X] an extension (respectively, a strict extension) of a semistar operation  $\star$  defined on an integral domain D, with quotient field K, if  $E^{\star} = (E[X])^{\circledast} \cap K$  (respectively,  $E^{\star}[X] = (E[X])^{\circledast}$ ) for all nonzero D-submodules E of K. In this paper, we study the general properties of the above defined extensions and link our work with earlier efforts, centered on the stable semistar operation case, at defining semistar operations on D[X] that are "canonical" extensions (or, "canonical" strict extensions) of semistar operations on D.

## 1. Background results

Let D be an integral domain with quotient field K. Let  $\overline{F}(D)$  denote the set of all nonzero D-submodules of K and let F(D) be the set of all nonzero fractional ideals of D, i.e.,  $E \in F(D)$  if  $E \in \overline{F}(D)$  and there exists a nonzero  $d \in D$  with  $dE \subseteq D$ . Let f(D) be the set of all nonzero finitely generated D-submodules of K. Then, obviously  $f(D) \subseteq F(D) \subseteq \overline{F}(D)$ .

Following Okabe-Matsuda [31], a semistar operation on D is a map  $\star : \overline{F}(D) \to \overline{F}(D)$ ,  $E \mapsto E^{\star}$ , such that, for all  $x \in K$ ,  $x \neq 0$ , and for all  $E, F \in \overline{F}(D)$ , the following properties hold:

- $(\star_1) (xE)^* = xE^*;$
- $(\star_2)$   $E \subseteq F$  implies  $E^* \subseteq F^*$ ;
- $(\star_3)$   $E \subseteq E^*$  and  $E^{**} := (E^*)^* = E^*$ .

The semistar operation defined by  $E^* = K$  for all  $E \in \overline{F}(D)$  is called the *trivial* semistar operation on D and it is denoted by  $e_D$  (or, simply, by e). A (semi)star operation is a semistar operation that, restricted to F(D), is a star operation (in the sense of [14, Section 32]). It is easy to see that a semistar operation  $\star$  on D is a (semi)star operation if and only if  $D^* = D$ .

If  $\star$  is a semistar operation on D, then we can consider a map  $\star_f : \overline{F}(D) \to \overline{F}(D)$  defined, for each  $E \in \overline{F}(D)$ , as follows:

$$E^{\star_f} := \bigcup \{ F^{\star} \mid F \in \boldsymbol{f}(D) \text{ and } F \subseteq E \}.$$

It is easy to see that  $\star_f$  is a semistar operation on D, called the semistar operation of finite type associated to  $\star$ . Note that, for each  $F \in \mathbf{f}(D)$ ,  $F^{\star} = F^{\star_f}$ . A semistar

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operation  $\star$  is called a *semistar operation of finite type* if  $\star = \star_f$ . It is easy to see that  $(\star_f)_f = \star_f$  (that is,  $\star_f$  is of finite type).

If  $\star_1$  and  $\star_2$  are two semistar operations on D, we say that  $\star_1 \leq \star_2$  if  $E^{\star_1} \subseteq E^{\star_2}$ , for each  $E \in \overline{F}(D)$ . This is equivalent to say that  $(E^{\star_1})^{\star_2} = E^{\star_2} = (E^{\star_2})^{\star_1}$ , for each  $E \in \overline{F}(D)$ . Obviously, for each semistar operation  $\star$ , we have  $\star_f \leq \star$ . Let  $d_D$  (or, simply, d) be the *identity (semi)star operation on D*, clearly  $d \leq \star$ , for all semistar operation  $\star$  on D.

We say that a nonzero ideal I of D is a  $quasi-\star$ -ideal if  $I^\star\cap D=I$ , a  $quasi-\star$ -prime ideal if it is a prime quasi- $\star$ -ideal, and a  $quasi-\star$ -maximal ideal if it is maximal in the set of all proper quasi- $\star$ -ideals. A quasi- $\star$ -maximal ideal is a prime ideal. It is possible to prove that each proper quasi- $\star_f$ -ideal is contained in a quasi- $\star_f$ -maximal ideal. More details can be found in [11, page 4781]. We will denote by QMax $^\star(D)$  (respectively, QSpec $^\star(D)$ ) the set of the quasi- $\star$ -maximal ideals (respectively, quasi- $\star$ -prime ideals) of D. When  $\star$  is a (semi)star operation, the notion of quasi- $\star$ -ideal coincides with the "classical" notion of  $\star$ -ideal (i.e., a nonzero ideal I such that  $I^\star=I$ ).

If  $\Delta$  is a set of prime ideals of an integral domain D, then the semistar operation  $\star_{\Delta}$  defined on D as follows

$$E^{\star_{\Delta}} := \bigcap \{ ED_P \mid P \in \Delta \}, \text{ for each } E \in \overline{F}(D),$$

is called the spectral semistar operation on D associated to  $\Delta$ . A semistar operation  $\star$  on an integral domain D is called a spectral semistar operation if there exists a subset  $\Delta$  of the prime spectrum of D, Spec(D), such that  $\star = \star_{\Delta}$ .

When  $\Delta := \operatorname{QMax}^{\star_f}(D)$ , we set  $\widetilde{\star} := \star_{\Delta}$ , i.e.

$$E^{\widetilde{\star}} := \bigcap \left\{ ED_P \mid P \in \mathrm{QMax}^{\star_f}(D) \right\}, \ \text{ for each } E \in \overline{\boldsymbol{F}}(D).$$

A semistar operation  $\star$  is *stable* if  $(E \cap F)^{\star} = E^{\star} \cap F^{\star}$ , for each  $E, F \in \overline{F}(D)$ . Spectral semistar operations are stable [7, Lemma 4.1(3)]. In particular,  $\widetilde{\star}$  is a semistar operation stable and of finite type; and, conversely, if a semistar operation  $\star$  is stable and of finite type then  $\star = \widetilde{\star}$  [7, Corollary 3.9(2)].

Let T be an overring of an integral domain D, let  $\iota: D \hookrightarrow T$  be the canonical embedding and let  $\star$  be a semistar operation on D. We denote by  $\star_{\iota}$  the semistar operation on T defined by  $E^{\star_{\iota}} := E^{\star}$ , for each  $E \in \overline{F}(T)$  ( $\subseteq \overline{F}(D)$ ).

Conversely, let \* be a semistar operation on T and let  $*^{\iota}$  be the semistar operation on D defined by  $E^{*^{\iota}} := (ET)^*$ , for each  $E \in \overline{F}(D)$ .

It is not difficult to see that  $(*')_f = (*_f)^{\iota}$  and if  $\star$  is a semistar operation of finite type (respectively, a stable semistar operation) on D then  $\star_{\iota}$  is a semistar operation of finite type (respectively, a stable semistar operation) on T (cf. for instance [10, Proposition 2.8] and [32, Propositions 2.11 and 2.13]).

Clearly, if  $\star = d_D$  then  $(d_D)_{\iota} = d_T$ . In case  $\star = d_T$ , the semistar operation of finite type  $(d_T)^{\iota}$  (defined by  $E \mapsto ET$  for all  $E \in \overline{F}(D)$ ) is denoted also by  $\star_{\{T\}}$  and it is stable if and only if T is a flat overring of D [37, Proposition 1.7] and [28, Theorem 7.4(1)].

By  $v_D$  (or, simply, by v) we denote the v-(semi)star operation defined as usual by  $E^v := (D : (D : E))$ , for each  $E \in \overline{F}(D)$ . By  $t_D$  (or, simply, by t) we denote  $(v_D)_t$  the t-(semi)star operation on D and by  $w_D$  (or just by w) the stable semistar

operation of finite type associated to  $v_D$  (or, equivalently, to  $t_D$ ), considered by F.G. Wang and R.L. McCasland in [38] (cf. also [15]); i.e.,  $w_D := \widetilde{v_D} = \widetilde{t_D}$ . Clearly  $w_D \leq t_D \leq v_D$ . Moreover, it is easy to see that for each (semi)star operation  $\star$  on D, we have  $\star \leq v_D$  and  $\star_f \leq t_D$  (cf. also [14, Theorem 34.1(4)]).

We recall from [8, Chapter V] (see also [34, Chapter 4]) that a localizing system of ideals of D is a family  $\mathcal{F}$  of ideals of D such that:

- **(LS1)** If  $I \in \mathcal{F}$  and J is an ideal of D such that  $I \subseteq J$ , then  $J \in \mathcal{F}$ .
- **(LS2)** If  $I \in \mathcal{F}$  and J is an ideal of D such that  $(J :_D iD) \in \mathcal{F}$ , for each  $i \in I$ , then  $J \in \mathcal{F}$ .

A localizing system  $\mathcal{F}$  is *finitely generated* if, for each  $I \in \mathcal{F}$ , there exists a finitely generated ideal  $J \in \mathcal{F}$  such that  $J \subseteq I$ .

The relation between stable semistar operations and localizing systems has been deeply investigated by M. Fontana and J. Huckaba in [7] and by F. Halter-Koch in the context of module systems [19]. In the following proposition, we summarize some of the results that we need (see [7, Proposition 2.8, Proposition 3.2, Proposition 2.4, Corollary 2.11, Theorem 2.10 (B)]).

# **Proposition 1.** Let D be an integral domain.

- (1) If  $\star$  is a semistar operation on D, then  $\mathcal{F}^{\star} := \{I \text{ ideal of } D \mid I^{\star} = D^{\star}\}$  is a localizing system (called the localizing system associated to  $\star$ ).
- (2) If  $\star$  is a semistar operation of finite type, then  $\mathcal{F}^{\star}$  is a finitely generated localizing system.
- (3) Let  $\star_{\mathcal{F}}$  or, simply,  $\overline{\star}$  be the semistar operation associated to a given localizing system  $\mathcal{F}$  of D and defined by  $E \mapsto E^{\overline{\star}} := \bigcup \{(E:J) | J \in \mathcal{F}\}$ , for each  $E \in \overline{F}(D)$ . Then  $\star_{\mathcal{F}}$  (called the semistar operation associated to the localizing system  $\mathcal{F}$ ) is a stable semistar operation on D.
- (4)  $\overline{\star} \leq \star \text{ and } \mathcal{F}^{\star} = \mathcal{F}^{\overline{\star}}.$
- (5)  $\overline{\star} = \star$  if and only if  $\star$  is stable.
- (6) If  $\mathcal{F}$  is a finitely generated localizing system, then  $\star_{\mathcal{F}}$  is a finite type (stable) semistar operation.
- (7)  $\mathcal{F}^{\star_f} = (\mathcal{F}^{\star})_f := \{ I \in \mathcal{F}^{\star} \mid I \supseteq J, \text{ for some finitely generated ideal } J \in \mathcal{F}^{\star} \}$ and  $\widetilde{\star} = \overline{\star_f}$ , i.e.,  $\widetilde{\star}$  is the stable semistar operation of finite type associated to the localizing system  $\mathcal{F}^{\star_f}$ . In particular, for each  $E \in \overline{F}(D)$ , we have:

$$E^{\widetilde{\star}} = \bigcup \{ (E:J) \mid J \in \boldsymbol{f}(D), \ J \subseteq D, \ and \ J^{\star} = D^{\star} \} .$$

(8) If  $\mathcal{F}'$  and  $\mathcal{F}''$  are two localizing systems of D, then  $\mathcal{F}' \subseteq \mathcal{F}''$  if and only if  $\star_{\mathcal{F}'} \leq \star_{\mathcal{F}''}$ .

If I is a nonzero fractional ideal of D, we say that I is  $\star$ -invertible if  $(II^{-1})^{\star} = D^{\star}$ . From the definitions and from the fact that  $\mathrm{QMax}^{\star_f}(D) = \mathrm{QMax}^{\widetilde{\star}}(D)$  [11, Corollary 3.5(2)] it follows easily that a nonzero fractional ideal I is  $\widetilde{\star}$ -invertible if and only if I is  $\star_f$ -invertible. An integral domain D is called a  $Pr\ddot{u}fer \star$ -multiplication domain (for short,  $P\star MD$ ) if each  $I\in f(D)$  is  $\star_f$ -invertible. It is easy to see that the notions of PvMD, PtMD and PwMD coincide. Obviously, a PdMD is a Prufer domain, and conversely [14, Theorem 22.1].

If R is a ring (not necessarily an integral domain) and X an indeterminate over R, then the ring  $R(X) := \{f/g \mid f, g \in R[X] \text{ and } \mathbf{c}(g) = R\}$  (where  $\mathbf{c}(g)$  is the content of the polynomial g) is called the Nagata ring of R [14, Proposition 33.1].

In case of an integral domain equipped with a semistar operation, we have a general "semistar version" of the Nagata ring. The following result was proved in [11, Propositions 3.1 and 3.4] (cf. also [26, Proposition 2.1]).

**Proposition 2.** Let  $\star$  be a nontrivial semistar operation on an integral domain D. Set  $\mathcal{N}^{\star} := \mathcal{N}_{D}^{\star} := \{ h \in D[X] \mid h \neq 0 \text{ and } \mathbf{c}(h)^{\star} = D^{\star} \}$  and

$$Na(D, \star) := D[X]_{\mathcal{N}^{\star}}$$
.

Then,

- (1)  $\mathcal{N}^{\star}$  is a saturated multiplicative subset of D[X] and  $\mathcal{N}^{\star} = \mathcal{N}^{\star_f} = D[X] \setminus \bigcup \{Q[X] \mid Q \in \mathrm{QMax}^{\star_f}(D)\}.$
- (2)  $\operatorname{Max}(\operatorname{Na}(D, \star)) = \{Q[X]_{\mathcal{N}^{\star}} \mid Q \in \operatorname{QMax}^{\star_f}(D)\}\ and\ \operatorname{QMax}^{\star_f}(D)\ coincides$  with the canonical image in  $\operatorname{Spec}(D)$  of  $\operatorname{Max}(\operatorname{Na}(D, \star))$ .
- (3) Na $(D, \star) = \bigcap \{D_Q(X) \mid Q \in \operatorname{QMax}^{\star_f}(D)\}.$
- (4) For each  $E \in \overline{F}(D)$ ,  $E^{\widetilde{\star}} = E \operatorname{Na}(D, \star) \cap K$ .

Let  $\star$  be a semistar operation on D. If F is in  $\mathbf{f}(D)$ , we say that F is  $\star$ -eab (respectively,  $\star$ -ab) if  $(FG)^{\star} \subseteq (FH)^{\star}$  implies that  $G^{\star} \subseteq H^{\star}$ , with  $G, H \in \mathbf{f}(D)$ , (respectively, with  $G, H \in \overline{\mathbf{F}}(D)$ ).

An operation  $\star$  is said to be eab (respectively, ab) if each  $F \in f(D)$  is  $\star$ -eab (respectively,  $\star$ -ab). An ab operation is obviously an eab operation. We note that if  $\star$  is an eab semistar operation then  $\star_f$  is also an eab semistar operation, since they agree on all finitely generated ideals. Let  $\star$  be a semistar operation of finite type, then  $\star$  is an eab semistar operation if and only if  $\star$  is an ab semistar operation. In this situation, we say that  $\star$  is an (e)ab semistar operation. In particular, from the previous result it follows that the notions of  $\star$ -eab semistar operation and  $\star_f$ -(e)ab semistar operation coincide [12, Lemma 3 and Proposition 4].

Given an arbitrary semistar operation  $\star$  on an integral domain D, it is possible to associate to  $\star$ , an eab semistar operation of finite type  $\star_a$  of D, called the ab semistar operation associated to  $\star$ , defined as follows:

$$F^{\star_a} := \bigcup \{ ((FH)^{\star} : H) \mid H \in \boldsymbol{f}(D) \}, \text{ for each } F \in \boldsymbol{f}(D),$$

and, in general,

$$E^{\star_a} := \bigcup \{ F^{\star_a} \mid F \subseteq E, F \in \boldsymbol{f}(D) \}, \text{ for each } E \in \overline{\boldsymbol{F}}(D),$$

[10, Definition 4.4]. Note that if  $\star$  is an (e) ab semistar operation of finite type then  $\star = \star_a$ , and conversely [10, Proposition 4.5]. More information on the operation  $\star_a$ , introduced for ideal systems in [25, page 41] (see also Lorenzen's original paper [27]) can be found in [30], [31], [17], [18], and [9].

Let  $\star$  be a semistar operation on D and let V be a valuation overring of D. We say that V is a  $\star$ -valuation overring of D if, for each  $F \in f(D)$ ,  $F^{\star} \subseteq FV$  (or equivalently,  $\star_f \leq \star_{\{V\}}$ . Note that a valuation overring V of D is a  $\star$ -valuation overring of D if and only if  $V^{\star_f} = V$ . More details of semistar valuation overrings can be found in [9], [10] (cf. also [25], [17] and [20]).

**Proposition 3.** [10, Proposition 3.3, Theorem 3.11, Theorem 5.1, Corollary 5.2, Corollary 5.3], [9, Theorem 3.5]. Let  $\star$  be any semistar operation defined on an integral domain D with quotient field K and let  $\star_a$  be the ab semistar operation associated to  $\star$ . Set

$$\operatorname{Kr}(D,\star) := \{ f/g \mid f, g \in D[X] \setminus \{0\} \text{ and there exists } h \in D[X] \setminus \{0\}$$

$$\operatorname{such that } (\boldsymbol{c}(f)\boldsymbol{c}(h))^* \subseteq (\boldsymbol{c}(g)\boldsymbol{c}(h))^* \} \cup \{0\}.$$

Then, we have:

- (1)  $Kr(D,\star)$  is a Bézout domain with quotient field K(X), called the Kronecker function ring of D with respect to the semistar operation  $\star$ .
- (2)  $\operatorname{Na}(D,\star) \subseteq \operatorname{Kr}(D,\star)$ .
- (3)  $Kr(D, \star) = Kr(D, \star_a)$ .
- (4)  $E^{\star_a} = E\mathrm{Kr}(D, \star) \cap K$ , for each  $E \in \overline{F}(D)$ .
- (5)  $Kr(D, \star) = \bigcap \{V(X) \mid V \text{ is a } \star \text{-valuation overring of } D\}.$
- (6) If  $F := (a_0, a_1, \dots, a_n) \in f(D)$  and  $f(X) := a_0 + a_1 X + \dots + a_n X^n \in K[X]$ , then:

$$F\mathrm{Kr}(D,\star) = f(X)\mathrm{Kr}(D,\star) = c(f)\mathrm{Kr}(D,\star)$$
.

When  $\star = d$ , the d-valuation overrings of D are just the valuation overrings of D. In this case, we set:

$$\operatorname{Kr}(D) := \operatorname{Kr}(D, d) = \bigcap \{V(X) \mid V \text{ is a valuation overring of } D\}.$$

Moreover, if we denote by  $b_D$  (or, simply, by b) the ab semistar operation of finite type  $(d_D)_a$  then, for each  $E \in \overline{F}(D)$ ,

$$E^b = E\mathrm{Kr}(D) \cap K = \bigcap \{EV \mid V \text{ is a valuation overring of } D\} \,.$$

**Remark 4.** Recall that a Prüfer domain D can be characterized by the fact that each  $F \in f(D)$  is invertible. Since an invertible ideal is always a v-ideal (and, in particular, a t-ideal), then the following are equivalent [14, Theorem 24.7 and Theorem 34.1(4)]:

- (i) D is a Prüfer domain;
- (ii) D is integrally closed and d = b;
- (iii) D is integrally closed and d = t.

## 2. Results

Let D be an integral domain with quotient field K, let X be an indeterminate over K. We start with some basic facts. Note that some of the statements contained in the following result were also proved in [29, Proposition 2.1] and, in the star operation setting, in [23, Propositions 2.1 and 2.2].

**Lemma 5.** Given a semistar operation  $\circledast$  on D[X], for each  $E \in \overline{F}(D)$  set:

$$E^{\circledast_0} := (E[X])^{\circledast} \cap K.$$

Then:

(1)  $\circledast_0$  is a semistar operation on D called the semistar operation canonically induced by  $\circledast$  on D. In particular, if  $\circledast$  is a (semi)star operation on D[X], then  $\circledast_0$  is a (semi)star operation on D.

- (2)  $(E^{\circledast_0}[X])^{\circledast} = (E[X])^{\circledast} \text{ for all } E \in \overline{F}(D).$
- (3)  $(\circledast_f)_0 = (\circledast_0)_f$ .
- (4) If  $\circledast$  is a semistar operation of finite type (respectively, stable), then  $\circledast_0$  is a semistar operation of finite type (respectively, stable).
- (5) If  $\circledast'$  and  $\circledast''$  are two semistar operations on D[X] and  $\circledast' \leq \circledast''$ , then  $\circledast'_0 \leq \circledast''_0$ .
- (6)  $(\widetilde{\circledast})_0 = \widetilde{\circledast}_0$ .
- (7)  $(d_{D[X]})_0 = d_D$ ,  $(w_{D[X]})_0 = w_D$ ,  $(t_{D[X]})_0 = t_D$ ,  $(v_{D[X]})_0 = v_D$ , and  $(b_{D[X]})_0 = b_D$ .

*Proof.* (1) Set  $\star := \circledast_0$ . It is easy to see that, if  $E \in \overline{F}(D)$ , then  $E \subseteq E^*$  and if  $E_1, E_2 \in \overline{F}(D)$  with  $E_1 \subseteq E_2$ , then  $E_1^* \subseteq E_2^*$ . Moreover:

$$\begin{split} \left(E^{\star}\right)^{\star} &= \left(\left((E[X])^{\circledast} \cap K\right)[X]\right)^{\circledast} \cap K \\ &\subseteq \left(\left(E[X]\right)^{\circledast}[X] \cap K[X]\right)^{\circledast} \cap K \\ &= \left(\left(E[X]\right)^{\circledast} \cap K[X]\right)^{\circledast} \cap K \\ &\subseteq \left(\left(E[X]\right)^{\circledast}\right)^{\circledast} \cap K = \left(E[X]\right)^{\circledast} \cap K = E^{\star} \,. \end{split}$$

Thus  $(E^*)^* = E^*$ . Moreover, for each nonzero  $z \in K$ , we have:

$$\begin{split} zE^\star &= z((E[X])^\circledast \cap K) \\ &= (z(E[X])^\circledast \cap zK) = (z(E[X])^\circledast \cap K) \\ &= (zE[X])^\circledast \cap K = (zE)^\star \ . \end{split}$$

In particular, if  $(D[X])^{\circledast} = D[X]$ , then  $D^* = (D[X])^{\circledast} \cap K = D[X] \cap K = D$ .

- (2) Note that  $E[X] \subseteq E^{\circledast_0}[X] = ((E[X])^{\circledast} \cap K)[X] \subseteq (E[X])^{\circledast} \cap K[X] \subseteq (E[X])^{\circledast}$ . Therefore,  $(E[X])^{\circledast} \subseteq (E^{\circledast_0}[X])^{\circledast} \subseteq ((E[X])^{\circledast})^{\circledast} = (E[X])^{\circledast}$ .
- (3) Let  $z \in E^{(\circledast_f)_0}$ . Then there exists  $F \in f(D[X])$  such that  $F \subseteq E[X]$  and  $z \in F^{\circledast} \cap K$ . Let  $I := \mathbf{c}_D(F)$ . Clearly,  $I \in f(D)$ ,  $I \subseteq E$  and  $F \subseteq I[X] \subseteq E[X]$ . Therefore,  $z \in F^{\circledast} \cap K \subseteq (I[X])^{\circledast} \cap K = I^{\circledast_0}$ , and so  $z \in E^{(\circledast_0)_f}$ . Conversely, if  $z \in E^{(\circledast_0)_f}$ , then  $z \in I^{\circledast_0} = (I[X])^{\circledast} \cap K$  for some  $I \in f(D)$ ,  $I \subseteq E$ . This implies that  $z \in E^{(\circledast_f)_0}$ .
- (4) The "finite type part" is a particular case of (3). The "stable part" is a straightforward consequence of the definitions.
  - (5) is straightforward.
- (6) Clearly,  $(\widetilde{\circledast})_0 \leq \widetilde{\circledast}_0$ , since  $(\widetilde{\circledast})_0 \leq \underset{}{\circledast}_0$  by (5) and  $(\widetilde{\circledast})_0$  is a stable operation of finite type by statement (4). Let  $a \in E^{\widetilde{\circledast}_0}$  with  $E \in \overline{F}(D)$ . Then, there exists a nonzero finitely generated ideal J of D such that  $J^{\circledast_0} = D^{\circledast_0}$  and  $aJ \subseteq E$ . On the other hand,  $(J[X])^{\circledast} = (J^{\circledast_0}[X])^{\circledast} = (D^{\circledast_0}[X])^{\circledast} = (D[X])^{\circledast}$  by (2). Since J[X] is a nonzero finitely generated ideal of D[X],  $(J[X])^{\circledast} = (D[X])^{\circledast}$  and  $aJ[X] \subseteq E[X]$ , then  $a \in (E[X])^{\widetilde{\circledast}}$  and so  $a \in (E[X])^{\widetilde{\circledast}} \cap K = E^{(\widetilde{\circledast})_0}$ .
- (7) The statement for the d-operations is trivial. For the w-, t-, and voperation, it is an easy consequence of the following equalities [22, Proposition
  4.3] for all fractional ideals  $E \in \mathbf{F}(D)$ :

$$(E[X])^{v_{D[X]}} = E^{v_D}[X], \quad (E[X])^{t_{D[X]}} = E^{t_D}[X], \quad (E[X])^{w_{D[X]}} = E^{w_D}[X].$$
(Note that if  $E \in \overline{F}(D) \setminus F(D)$ , then  $E[X] \in \overline{F}(D[X]) \setminus F(D[X])$ , and so  $E^{v_D}[X] = K[X]$  and  $(E[X])^{v_{D[X]}} = K(X)$ , however  $(E[X])^{v_{D[X]}} \cap K = K = E^{v_D}$ .)

We want to prove next that

$$(E[X])^{b_{D[X]}} = E^{b_D}[X], \text{ for all } E \in \overline{F}(D).$$

We use the fact that  $E^{b_D} = \bigcup\{(EI:I) \mid I \in \boldsymbol{f}(D)\}\$  (respectively,  $(E[X])^{b_D[X]} = \bigcup\{(E[X]F:F) \mid F \in \boldsymbol{f}(D[X])\}\$ ) (see [39, page 349] and [16, Section 19.3]). Let  $z \in E^{b_D}[X]$  ( $\subseteq K[X]$ ). Then  $z \in (EI:I)[X] = (E[X]I[X]:I[X])$  for some  $I \in \boldsymbol{f}(D)$ , and so, in particular,  $z \in \bigcup\{(E[X]F:F) \mid F \in \boldsymbol{f}(D[X])\}\$ . Conversely, let  $z \in \bigcup\{(E[X]F:F) \mid F \in \boldsymbol{f}(D[X])\}\$  ( $\subseteq K[X]$ ). Then  $zF \subseteq E[X]F$ , and so (by [14, Theorem 28.1]) there exists a positive integer m such that  $\boldsymbol{c}_D(z)(\boldsymbol{c}_D(z)^m\boldsymbol{c}_D(F)) = \boldsymbol{c}_D(z)^{m+1}\boldsymbol{c}_D(F) = \boldsymbol{c}_D(z)^m\boldsymbol{c}_D(zF) \subseteq \boldsymbol{c}_D(z)^m\boldsymbol{c}_D(E[X]F) \subseteq \boldsymbol{c}_D(z)^m\boldsymbol{c}_D(E[X])\boldsymbol{c}_D(F) = E(\boldsymbol{c}_D(z)^m\boldsymbol{c}_D(F))$ , where  $\boldsymbol{c}_D(z)^m\boldsymbol{c}_D(F) \in \boldsymbol{f}(D)$ . Therefore,  $\boldsymbol{c}_D(z) \subseteq E^{b_D}$  and thus  $z \in \boldsymbol{c}_D(z)[X] \subseteq E^{b_D}[X]$ .

**Remark 6.** Note that the equality  $(E[X])^{b_{D[X]}} = E^{b_D}[X]$ , for all  $E \in \overline{F}(D)$  proved in (7) of Lemma 5, is equivalent to each of the following equalities:

$$E[X]\mathrm{Kr}(D[X],b_{D[X]})\cap K(X) = (E\mathrm{Kr}(D,b_D)\cap K)[X] = E\mathrm{Kr}(D,b_D)\cap K[X],$$

$$\bigcap \{E[X]W\mid W \text{ valuation overring of } D[X]\} =$$

 $(\bigcap \{EV \mid V \text{ valuation overring of } D\})[X]$ .

Remark 7. Given an arbitrary multiplicative subset S of D[X], Chang and Fontana in [4] investigated the map  $E \mapsto ED[X]_S \cap K$ , defined for all  $E \in \overline{F}(D)$ , showing that it gives rise to a semistar operation  $\star$  on D, having the properties that  $D^\star = R := D[X]_S \cap K$ , and that R is t-linked to  $(D,\star)$  (i.e., for each nonzero finitely generated ideal I of D,  $I^\star = D^\star$  implies  $(IR)^{t_R} = R$  [6, Section 3]; or, equivalently,  $R = R^{\tilde{\star}}$  [5, Lemma 2.9].) One of the main results of the paper by Chang and Fontana [4, Theorem 2.1] is recalled below, since it is strictly linked to the theme of the present work.

Note that, to a multiplicative subset  $\mathcal{S}$  of D[X], we can associate the semistar operation  $\circledast_{\mathcal{S}}$  on D[X] defined by  $A^{\circledast_{\mathcal{S}}} := A_{\mathcal{S}} = \bigcup \{(A:J) \mid J \text{ ideal of } D[X], \ J \cap \mathcal{S} \neq \emptyset\} = AD[X]_{\mathcal{S}}$ , for all  $A \in \overline{F}(D[X])$  [7, Proposition 2.10]. Therefore, by Lemma 5, we obtain immediately that the map  $E \mapsto ED[X]_{\mathcal{S}} \cap K =: E^{\circlearrowleft_{\mathcal{S}}}$ , defined for all  $E \in \overline{F}(D)$ , gives rise to a semistar operation  $\circlearrowleft_{\mathcal{S}}$  on D coinciding with  $(\circledast_{\mathcal{S}})_0$ . Clearly, if  $\overline{\mathcal{S}} := D[X] \setminus \bigcup \{Q \mid Q \in \operatorname{Spec}(D[X]) \text{ and } Q \cap \mathcal{S} = \emptyset\}$  is the saturation of the multiplicative set  $\mathcal{S}$ , then  $\circledast_{\mathcal{S}} = \circledast_{\overline{\mathcal{S}}}$  and so, in particular,  $\circlearrowleft_{\mathcal{S}} = \circlearrowleft_{\overline{\mathcal{S}}}$ .

In order to deepen our knowledge of the semistar operation  $\circlearrowleft_{\mathcal{S}}$ , we need a definition of a stronger version of saturation. Set:

$$\mathcal{S}^{\sharp} := D[X] \setminus \bigcup \{ P[X] \mid P \in \operatorname{Spec}(D) \text{ and } P[X] \cap \mathcal{S} = \emptyset \}.$$

It is clear that  $\mathcal{S}^{\sharp}$  is a saturated multiplicative set of D[X] and that  $\mathcal{S}^{\sharp}$  contains the saturation of  $\mathcal{S}$ , i.e.,  $\mathcal{S}^{\sharp} \supseteq \overline{\mathcal{S}} \supseteq \mathcal{S}$ . We call  $\mathcal{S}^{\sharp}$  the extended saturation of  $\mathcal{S}$  in D[X] and a multiplicative set  $\mathcal{S}$  of D[X] is called extended saturated if  $\mathcal{S} = \mathcal{S}^{\sharp}$ . Clearly, in general,  $\circledast_{\mathcal{S}^{\sharp}} \ge \circledast_{\mathcal{S}} \ (= \circledast_{\overline{\mathcal{S}}})$ . However, it can be shown that  $(\circledast_{\mathcal{S}^{\sharp}})_0 = (\circledast_{\mathcal{S}})_0$ . For this, let

$$\Delta := \Delta(S) := \{ P \in \operatorname{Spec}(D) \mid P[X] \cap S = \emptyset \};$$

obviously,  $\Delta(S) = \Delta(S^{\sharp})$ . Let  $\nabla := \nabla(S)$  be the set of the maximal elements of  $\Delta(S)$ . Then, by [4, Theorem 2.1], we have:

- (a)  $\circlearrowleft_{\mathcal{S}}$  is stable and of finite type, i.e.,  $\circlearrowleft_{\mathcal{S}} = \widecheck{\circlearrowleft_{\mathcal{S}}}$ .
- (b) The extended saturation  $S^{\sharp}$  of S coincides with  $\mathcal{N}^{\circlearrowleft_S} := \{g \in D[X] \mid g \neq 0 \text{ and } \mathbf{c}_D(g)^{\circlearrowleft_S} = D^{\circlearrowleft_S}\}$  and  $\circlearrowleft_S = \circlearrowleft_{S^{\sharp}}$ .
- (c) If S is extended saturated, then  $Na(D, \circlearrowleft_S) = D[X]_S$ .
- (d)  $\operatorname{QMax}^{\circ}(D) = \nabla(\mathcal{S})$ . In particular,  $\circ_{\mathcal{S}}$  coincides with the spectral semistar operation associated to  $\nabla(\mathcal{S})$ , i.e.,

$$E^{\circlearrowleft_{\mathcal{S}}} = \bigcap \{ ED_P \mid P \in \nabla(\mathcal{S}) \}, \text{ for all } E \in \overline{F}(D).$$

- (e)  $\circlearrowleft_{\mathcal{S}}$  is a (semi)star operation on D if and only if  $\mathcal{S} \subseteq \mathcal{N}^{v_D} := \{g \in D[X] \mid g \neq 0 \text{ and } c_D(g)^{v_D} = D\}$  or, equivalently, if and only if  $D = \bigcap \{D_P \mid P \in \nabla(\mathcal{S})\}$ .
- (f) The map  $S \mapsto \circlearrowleft_S$  establishes a 1-1 correspondence between the extended saturated multiplicative subsets of D[X] (respectively, extended saturated multiplicative subsets of D[X] contained in  $\mathcal{N}^{v_D}$ ) and the set of the stable semistar (respectively, (semi)star) operations of finite type on D.
- (g) Let S be an extended saturated multiplicative set of D[X]. Then,  $Na(D, v_D) = D[X]_S$  if and only if  $S = \mathcal{N}^{v_D}$ .
- (h) Let  $R:=D^{\circlearrowleft_S}$  and let  $\iota:D\to R$  be the canonical embedding. The overring R is t-linked to  $(D,\circlearrowleft_S)$  and  $S\subseteq \mathcal{N}^{v_R}:=\{g\in R[X]\mid g\neq 0 \text{ and } \mathbf{c}_R(g)^{v_R}=R\}$  (i.e.,  $(\circlearrowleft_S)_\iota$  is a (semi)star operation on R). Moreover  $(\circlearrowleft_S)_\iota=w_R$  if and only if the extended saturation  $\mathcal{S}^{\sharp_R}:=R[X]\setminus\bigcup\{Q[X]\mid Q\in \operatorname{Spec}(R) \text{ and } Q[X]\cap S=\emptyset\}$  of the multiplicative set S in R[X] coincides with  $\mathcal{N}^{v_R}$ .

From Remark 7(f), we deduce that each semistar operation  $\star$  on D which is stable and of finite type is equal to  $(\circledast_{\mathcal{S}})_0 = \circlearrowleft_{\mathcal{S}}$  for a unique extended saturated multiplicative set  $\mathcal{S}$  of D[X]. More precisely,

Corollary 8. Let  $\star$  be a finite type stable semistar operation on an integral domain D with field of quotients K and let X be an indeterminate over K. Let  $S(\star) := \mathcal{N}^{\star} := \{g \in D[X] \mid c_D(g)^{\star} = D^{\star}\}$ . Then,

- (1)  $S(\star)$  is an extended saturated multiplicative set of D[X] and, more precisely,  $S(\star) = D[X] \setminus \bigcup \{Q[X] \mid Q \in \mathrm{QMax}^{\star_f}(D)\}\$ with  $S(\star)^{\sharp} = D[X] \setminus \bigcup \{P[X] \mid P \in \mathrm{Spec}(D)\$ and  $P[X] \cap S(\star) = \emptyset\} = S(\star).$
- (2)  $\star = \circlearrowleft_{\mathcal{S}(\star)} = (\circledast_{\mathcal{S}(\star)})_0$  and  $\mathcal{S}(\star)$  is unique among the extended saturated multiplicative set  $\mathcal{S}$  of D[X] for which  $\circlearrowleft_{\mathcal{S}} = \star$ .
- Proof. (1) Clearly,  $S(\star) = D[X] \setminus \bigcup \{Q[X] \mid Q \in \operatorname{QMax}^{\star_f}(D)\}$  since, for  $0 \neq g \in D[X]$ ,  $c_D(g)^{\star} = c_D(g)^{\star_f} = D^{\star}$  if and only if  $c_D(g) \not\subseteq Q$  for all  $Q \in \operatorname{QMax}^{\star_f}(D)$  and, for each prime ideal Q of D,  $c_D(g) \not\subseteq Q$  if and only if  $g \notin Q[X]$ . Moreover, clearly,  $\bigcup \{Q[X] \mid Q \in \operatorname{QMax}^{\star_f}(D)\} \subseteq \bigcup \{P[X] \mid P \in \operatorname{Spec}(D) \text{ and } P[X] \cap S(\star) = \emptyset\}$ . On the other hand, if  $P \in \operatorname{Spec}(D)$  and  $P[X] \cap S(\star) = \emptyset$ , this means that  $P[X] \subseteq \bigcup \{Q[X] \mid Q \in \operatorname{QMax}^{\star_f}(D)\}$  and so  $\bigcup \{P[X] \mid P \in \operatorname{Spec}(D) \text{ and } P[X] \cap S(\star) = \emptyset\} \subseteq \bigcup \{Q[X] \mid Q \in \operatorname{QMax}^{\star_f}(D)\}$ .
- (2) Note that, by assumption,  $\star = \widetilde{\star}$  and so, for each  $E \in \overline{F}(D)$ ,  $E^{\widetilde{\star}} = E\operatorname{Na}(D,\star) \cap K = ED[X]_{\mathcal{N}^{\star}} \cap K = ED[X]_{\mathcal{S}(\star)} \cap K$  [11, Proposition 3.4]. Therefore,

if  $\circledast_{\mathcal{S}(\star)}$  is the finite type stable semistar operation on D[X] defined by the flat overring  $D[X]_{\mathcal{S}(\star)}$ , i.e.,  $A^{\circledast_{\mathcal{S}(\star)}} := A_{\mathcal{S}(\star)} = AD[X]_{\mathcal{S}(\star)}$  for all  $A \in \overline{F}(D[X])$ , then  $\star = (\circledast_{\mathcal{S}(\star)})_0 = \circlearrowleft_{\mathcal{S}(\star)}$ . The uniqueness follows from Remark 7(f).

Note that from  $E^{\circledast_0} = (E[X])^{\circledast} \cap K$ , by tensoring with the D-algebra D[X], we have  $E^{\circledast_0}[X] = (E[X])^{\circledast} \cap K[X]$ , for all  $E \in \overline{F}(D)$ . Moreover, it may happen that  $E^{\circledast_0}[X] \subseteq (E[X])^{\circledast}$  for some  $E \in \overline{F}(D)$ . For instance, this happens if  $E^{\circledast_0} = K$  and if K[X] is not a  $\circledast$ -overring of D[X] (i.e., if  $K[X] \subseteq K[X]^{\circledast}$ ). An explicit example is given by  $\circledast = v_{D[X]}$ ; in this case  $K^{(v_{D[X]})_0}[X] = K[X] \subseteq (K[X])^{v_{D[X]}} = K(X)$ . Another example (even in case of finite type stable semistar operations) is given next by using Corollary 8.

**Example 9.** Let P be a given nonzero prime ideal of an integral domain D. Let  $\Delta := \{P\}$  and set  $\star := \star_{\Delta}$ , i.e.,  $\star$  is the finite type stable semistar operation defined by  $E^{\star} := ED_{P}$ , for all  $E \in \overline{F}(D)$ . Clearly,  $QMax^{\star_{\Delta}}(D) = \{P\}$ . Thus,  $S(\star) := D[X] \setminus P[X]$  and  $\star = \widetilde{\star} = \circlearrowleft_{S(\star)} = (\circledast_{S(\star)})_0$ , by Corollary 8 (2). (Note that  $A^{\circledast_{S(\star)}} = AD[X]_{P[X]} = AD_{P}(X)$  for each  $A \in \overline{F}(D[X])$ .) On the other hand, for each  $E \in F(D)$ ,  $E^{\star}[X] = ED_{P}[X] \subsetneq ED[X]_{P[X]} = E[X]D_{P}(X) = (E[X])^{\circledast_{S(\star)}}$  (even if  $E^{\star}[X] = ED_{P}[X] = (ED_{P}(X) \cap K)[X] = E[X]D_{P}(X) \cap K[X] = (E[X])^{\circledast_{S(\star)}} \cap K[X]$ ).

In order to better investigate this situation, we introduce the following definitions. A semistar operation  $\circledast$  on the polynomial domain D[X] is called an extension (respectively, a strict extension) of a semistar operation  $\star$  defined on D if  $E^{\star} = (E[X])^{\circledast} \cap K$  (respectively,  $E^{\star}[X] = (E[X])^{\circledast}$ ) for all  $E \in \overline{F}(D)$ . Clearly, a strict extension is an extension. By Lemma 5, a semistar operation  $\circledast$  on D[X] is an extension of  $\star := \circledast_0$ .

Given two semistar operations  $\circledast'$  and  $\circledast''$  on the polynomial domain D[X], we say that they are equivalent over D, for short  $\circledast' \sim \circledast''$ , (respectively, strictly equivalent over D, for short  $\circledast' \approx \circledast''$ ) if  $(E[X])^{\circledast'} \cap K = (E[X])^{\circledast''} \cap K$  (respectively,  $(E[X])^{\circledast'} = (E[X])^{\circledast''}$ ) for each  $E \in \overline{F}(D)$ .

Clearly, two extensions (respectively, strict extensions)  $\circledast'$  and  $\circledast''$  on D[X] of the same semistar operation defined on D are equivalent (respectively, strictly equivalent). In particular, we have:

$$*' \approx *'' \Rightarrow *' \sim *'' \Leftrightarrow *'_0 = *''_0$$
.

We will see that the converse of the first implication above does not hold in general. In order to construct some counterexamples, we need a deeper study of the problem of "raising" semistar operations from D to D[X]; i.e., given a semistar operation  $\star$  on D, finding all the semistar operations  $\circledast$  on D[X] such that  $\star = \circledast_0$ .

Recall that, given a family of semistar operations  $\{\star_{\lambda} \mid \lambda \in \Lambda\}$  on an integral domain D, the semistar operation  $\wedge \star_{\lambda}$  on D is defined for all  $E \in \overline{F}(D)$  by setting:

$$E^{\wedge \star_{\lambda}} := \bigcap \{ E^{\star_{\lambda}} \mid \lambda \in \Lambda \} .$$

The following statement is a straightforward consequence of the definitions.

- **Proposition 10.** (1) Let  $\star$  be a semistar operation on an integral domain D. Given a family of semistar operations  $\{\circledast_{\lambda} \mid \lambda \in \Lambda\}$  on D[X] that are extensions (respectively, strict extensions) of  $\star$ , then  $\wedge \circledast_{\lambda}$  is also an extension (respectively, a strict extension) of  $\star$ .
  - (2) Given a family of semistar operations  $\{\circledast_{\lambda} \mid \lambda \in \Lambda\}$  of D[X], suppose that  $\circledast_{\lambda'} \sim \circledast_{\lambda''}$  (respectively,  $\circledast_{\lambda'} \approx \circledast_{\lambda''}$ ) for all  $\lambda', \lambda'' \in \Lambda$ , then  $\wedge \circledast_{\lambda}$  is equivalent (respectively, strictly equivalent) to  $\circledast_{\overline{\lambda}}$  for each  $\overline{\lambda} \in \Lambda$ .

From the previous proposition, we deduce that, if a semistar operation on D admits an extension (respectively, a strict extension) to D[X], then it admits a unique minimal extension (respectively, a unique minimal strict extension).

At this point, it is natural to ask the following questions:

- (Q1) Given a semistar operation  $\star$  defined on D, is it possible to find "in a canonical way" an extension (respectively, a strict extension) of  $\star$  on D[X]?
- (Q2) Given an extension  $\circledast$  on D[X] of a semistar operation  $\star$  defined on D. Is it possible to define a strict extension  $\circledast'$  on D[X] of  $\star$  (and thus  $\circledast' \sim \circledast$ )? (In the statement of the previous question, we do not require that  $\circledast' \approx \circledast$ , since this condition would imply that the extension  $\circledast$  on D[X] was already a strict extension of  $\star$ .)

In the remaining part of this paper, we start the investigation of questions (Q1) and (Q2), by considering semistar operations on D defined by families of overrings. In this particular, but rather important setting, we will provide positive answers to both questions.

Let  $\mathcal{T} := \{T_{\lambda} \mid \lambda \in \Lambda\}$  be a nonempty set of overrings of D, and let  $E^{\wedge_{\mathcal{T}}} := \bigcap_{\lambda} ET_{\lambda}$  for each  $E \in \overline{F}(D)$ . Then  $\wedge_{\mathcal{T}}$  is a semistar operation on D, and  $\wedge_{\mathcal{T}}$  is (semi)star if and only if  $D = \bigcap_{\lambda} T_{\lambda}$ . It is easy to see that, for each  $E \in \overline{F}(D)$  and for each  $\lambda \in \Lambda$ ,

$$E^{\wedge \tau} T_{\lambda} = E T_{\lambda}$$
,

(see [1, Theorem 2] for further details in the star operation case). If  $\mathcal{T} = \{K\}$  (respectively,  $\{D\}$ ) then obviously  $\wedge_{\{K\}}$  (respectively,  $\wedge_{\{D\}}$ ) is the trivial semistar operation  $e_D$  (=  $\star_{\{K\}}$ ) (respectively, the identity (semi)star operation  $d_D$  (=  $\star_{\{D\}}$ )). In case  $\mathcal{T} = \emptyset$ , we also set  $\wedge_{\emptyset} := e_D$ .

Note that, for each  $T_{\lambda}$ ,  $ET_{\lambda} = \bigcap \{(ET_{\lambda})_{M} \mid M \in \operatorname{Max}(T_{\lambda})\} = \bigcap \{E(T_{\lambda})_{M} \mid M \in \operatorname{Max}(T_{\lambda})\}$ ; hence  $E^{\wedge \tau} = \bigcap_{\lambda} (\bigcap \{E(T_{\lambda})_{M} \mid M \in \operatorname{Max}(T_{\lambda})\})$ . If  $\mathcal{T}$  is nonempty, replacing the family  $\mathcal{T} = \{T_{\lambda} \mid \lambda \in \Lambda\}$  with the family  $\{(T_{\lambda})_{M} \mid \lambda \in \Lambda, M \in \operatorname{Max}(D_{\lambda})\}$ , without loss of generality, whenever convenient for the context, we can assume that each  $T_{\lambda}$  in the family of overrings  $\mathcal{T}$  is a quasi-local domain.

If  $\mathcal{T}'$  and  $\mathcal{T}''$  are two families of overrings of D, then clearly:

$$\wedge_{\mathcal{T}'} \bigwedge \wedge_{\mathcal{T}''} = \wedge_{\mathcal{T}' \cup \mathcal{T}''}.$$

Let  $\mathcal{T} = \{T_{\lambda} \mid \lambda \in \Lambda\}$  be a family of overrings of an integral domain D with quotient field K. Let X be an indeterminate over K and denote by  $T_{\lambda}(X)$  the

Nagata ring of  $T_{\lambda}$ . For each  $A \in \overline{F}(D[X])$ , we set:

$$\begin{array}{ll} A^{(\wedge \tau)} := & \bigcap_{\lambda} AT_{\lambda}(X) \,, \\ A^{(\wedge \tau)} := & A^{(\wedge \tau)} \cap AK[X] \,, \\ A^{[\wedge \tau]} := & \cap_{\lambda} AT_{\lambda}[X] \,. \end{array}$$

Clearly,  $A^{[\land \tau]} \subseteq A^{\langle \land \tau \rangle} \subseteq A^{(\land \tau)}$  for all  $A \in \overline{F}(D[X])$ , hence  $[\land \tau] \leq \langle \land \tau \rangle \leq (\land \tau)$ . Moreover, if  $\mathcal{T}$  is nonempty,  $(D[X])^{\langle \land \tau \rangle} \subseteq K[X]$ , but  $1/(1+X) \in \bigcap_{\lambda} T_{\lambda}(X) = (D[X])^{(\land \tau)}$ . Hence,  $(D[X])^{\langle \land \tau \rangle} \subsetneq (D[X])^{(\land \tau)}$  and so  $\langle \land \tau \rangle \subsetneq (\land \tau)$ .

**Proposition 11.** Let  $\mathcal{T} = \{(T_{\lambda}, M_{\lambda})\}$  be a family of overrings of an integral domain D with quotient field K. Set  $(\mathcal{T}) := \{T_{\lambda}(X) \mid \lambda \in \Lambda\}$ ,  $\langle \mathcal{T} \rangle := \{T_{\lambda}(X) \mid \lambda \in \Lambda\} \cup \{K[X]\}$ , and  $[\mathcal{T}] := \{T_{\lambda}[X] \mid \lambda \in \Lambda\}$ . Then,

(1)  $(\wedge_{\mathcal{T}})$ ,  $\langle \wedge_{\mathcal{T}} \rangle$ , and  $[\wedge_{\mathcal{T}}]$  are semistar operations on D[X] and, more precisely,

$$(\wedge_{\mathcal{T}}) = \wedge_{(\mathcal{T})}, \ \langle \wedge_{\mathcal{T}} \rangle = \wedge_{\langle \mathcal{T} \rangle}, \ and \ [\wedge_{\mathcal{T}}] = \wedge_{[\mathcal{T}]}.$$

Moreover, if we consider the set  $\mathcal{U} := \{K[X]\}$  consisting of the unique overring K[X] of D[X], then:

$$\langle \wedge \tau \rangle = (\wedge \tau) \bigwedge \wedge u \ (= \wedge_{(\tau)} \bigwedge \wedge u) \ .$$

- (2) The following are equivalent:
  - (i)  $\wedge_{\tau}$  is a (semi)star operation on D,
  - (ii)  $\langle \wedge_{\mathcal{T}} \rangle$  is a (semi)star operation on D[X],
  - (iii)  $[\land_{\mathcal{T}}]$  is a (semi)star operation on D[X].
- (3) If  $\mathcal{T}$  is not empty and  $\mathcal{T} \neq \{K\}$ , then  $[\wedge_{\mathcal{T}}] \leq \langle \wedge_{\mathcal{T}} \rangle$ .
- (4) For each  $E \in \overline{F}(D)$ ,

$$(E[X])^{[\wedge \tau]} = E^{\wedge \tau}[X] = (E[X])^{\langle \wedge \tau \rangle} \quad and \quad (E[X])^{(\wedge \tau)} = E^{\wedge \tau}(X) \,.$$

(5) For each  $E \in \overline{F}(D)$ ,

$$E^{\wedge \tau} = (E[X])^{[\wedge \tau]} \cap K = (E[X])^{\langle \wedge \tau \rangle} \cap K = (E[X])^{(\wedge \tau)} \cap K.$$

- (6) If  $\mathcal{T}$  is a finite family of overrings of D, then  $\wedge_{\mathcal{T}}$  is a semistar operation of finite type on D.
- (7) If each overring  $T \in \mathcal{T}$  is a flat overring of D, then  $\wedge_{\mathcal{T}}$  is a stable semistar operation on D.

*Proof.* (1) is obvious and (2) is straightforward, since it is easy to see that  $\bigcap \{T_{\lambda}[X] \mid \lambda \in \Lambda\} = (\bigcap \{T_{\lambda} \mid \lambda \in \Lambda\})[X]$  and  $\bigcap \{T_{\lambda}(X) \mid \lambda \in \Lambda\} = (\bigcap \{T_{\lambda} \mid \lambda \in \Lambda\})[X]$ .

- (3) Without loss of generality, we can assume that  $T_{\lambda}$  is local with nonzero maximal ideal  $M_{\lambda}$  and we can take the (maximal) ideal  $(M_{\lambda}, 1+X)$  of  $T_{\lambda}[X]$ . Set  $Q := (M_{\lambda}, 1+X) \cap D[X]$ . Then,  $Q^{[\wedge \tau]} \subsetneq Q^{\langle \wedge \tau \rangle}$  (for  $Q^{[\wedge \tau]} \cap D[X] \subseteq (M_{\lambda}, 1+X) \cap D[X] = Q \subsetneq D[X]$  and, on the other hand, since  $M_{\lambda} \neq (0)$  and  $Q^{(\wedge \tau)} = D[X]^{(\wedge \tau)}$ , then  $Q^{\langle \wedge \tau \rangle} \cap D[X] = Q^{(\wedge \tau)} \cap QK[X] \cap D[X] = D[X]^{(\wedge \tau)} \cap D[X] = D[X]$ ).
  - (4) is straightforward and (5) is a trivial consequence of (4).
- (6) Clearly, for each  $T \in \mathcal{T}$ , the operation  $\wedge_{\{T\}}$  (defined by  $A^{\wedge_{\{T\}}} := AT$ , for all  $A \in \overline{\mathcal{F}}(D)$ , i.e.,  $\wedge_{\{T\}} = \star_{\{T\}}$ ) is a semistar operation of finite type on D. Let  $\mathcal{T} := \{T_1, T_2, ..., T_n\}$  and let  $z \in A^{\wedge_{\mathcal{T}}}$ . Then,  $z \in F_i T_i$ , for some  $F_i \subseteq A$ ,  $F_i \in f(D)$

and for each  $1 \leq i \leq n$ . Set  $F := F_1 + F_2 + ... + F_n$ , clearly  $F \in \boldsymbol{f}(D)$  and  $F \subseteq A$  and  $z \in FT_i$  for all i, thus  $z \in F^{\wedge \tau}$ .

(7) Let  $A, B \in \overline{F}(D)$ . Since  $T_{\lambda}$  is flat,  $(A \cap B)T_{\lambda} = AT_{\lambda} \cap BT_{\lambda}$  [3, Chapter 1, §2, N. 6]. The conclusion is now straightforward.

From Proposition 11, we deduce immediately the following:

**Corollary 12.** With the notation of Proposition 11, assume that  $\mathcal{T}$  is nonempty and  $\mathcal{T} \neq \{K\}$ , then we have:

- (1)  $[\land_{\mathcal{T}}]$ ,  $\langle \land_{\mathcal{T}} \rangle$ , and  $(\land_{\mathcal{T}})$  (respectively,  $[\land_{\mathcal{T}}]$  and  $\langle \land_{\mathcal{T}} \rangle$ ) are distinct extensions (respectively, distinct strict extensions) of  $\land_{\mathcal{T}}$ .
- (2)  $[\land_{\mathcal{T}}] \sim \langle \land_{\mathcal{T}} \rangle \sim (\land_{\mathcal{T}})$  and, moreover,  $[\land_{\mathcal{T}}] \approx \langle \land_{\mathcal{T}} \rangle$ , but neither  $[\land_{\mathcal{T}}]$  nor  $\langle \land_{\mathcal{T}} \rangle$  are strictly equivalent to  $(\land_{\mathcal{T}})$ .

**Example 13.** Let  $\mathcal{W} := \{W_{\lambda} \mid \lambda \in \Lambda\}$  be a family of valuation overrings of D and let  $\wedge_{\mathcal{W}}$  be the ab semistar operation on D defined by the family of valuation overrings  $\mathcal{W}$  of D (i.e.,  $E^{\wedge_{\mathcal{W}}} := \bigcap \{EW \mid W \in \mathcal{W}\}$  for all  $E \in \overline{F}(D)$ ) [10, Proposition 3.7(1)]. In this case,

(a)  $(\wedge_{\mathcal{W}})$  is an ab semistar operation on D[X] defined by the family of valuation overrings  $\mathcal{W}(X) := \{W_{\lambda}(X) \mid \lambda \in \Lambda\}$  of D[X] and (by [10, Corollary 3.8]) for each  $A \in \overline{F}(D[X])$ ,

$$A^{(\wedge w)} = \bigcap_{\lambda} AW_{\lambda}(X);$$

(b) for each  $E \in \overline{F}(D)$ ,

$$\begin{split} E^{(\wedge_{\mathcal{W}})_0} &= (E[X])^{(\wedge_{\mathcal{W}})} \cap K = (\bigcap_{\lambda} EW_{\lambda}(X)) \cap K \\ &= \bigcap_{\lambda} (EW_{\lambda}(X) \cap K) = \bigcap_{\lambda} EW_{\lambda} \\ &= E^{\wedge_{\mathcal{W}}}; \end{split}$$

(c) for each  $F \in \mathbf{f}(D)$ ,

$$F^{(\wedge w)_0} = F^{\wedge w} = F\mathrm{Kr}(D, \wedge_w) \cap K.$$

In particular, by (b), Lemma 5(2) and [12, Proposition 9]

$$(\wedge_{\mathcal{W}})_{0,f} = (\wedge_{\mathcal{W}})_{f,0} = (\wedge_{\mathcal{W}})_{a,0} = \wedge_{\mathcal{W},a} = \wedge_{\mathcal{W},f}$$

(where  $(\wedge_{\mathcal{W}})_{0,f}$  (respectively,  $(\wedge_{\mathcal{W}})_{f,0}$ ;  $(\wedge_{\mathcal{W}})_{a,0}$ ;  $\wedge_{\mathcal{W},a}$ ;  $\wedge_{\mathcal{W},f}$ ) denotes the semistar operation of finite type on D associated to  $(\wedge_{\mathcal{W}})_0$  (respectively, the semistar operation on D canonically induced by  $(\wedge_{\mathcal{W}})_f$ ; the semistar operation on D canonically induced by  $(\wedge_{\mathcal{W}})_a$ ; the ab semistar operation on D associated to  $\wedge_{\mathcal{W}}$ ; the semistar operation of finite type on D associated to  $\wedge_{\mathcal{W}}$ ).

**Example 14. (1)** The identity (semi)star operation  $d_D$  on an integral domain D, is defined by the family of a single overring  $\mathcal{D} := \{D\}$  of D, i.e.,  $d_D = \wedge_{\mathcal{D}}$ . Set

$$[d_D] := [\wedge_{\mathcal{D}}], \langle d_D \rangle := \langle \wedge_{\mathcal{D}} \rangle, (d_D) := (\wedge_{\mathcal{D}}).$$

Clearly, if D is not a field,  $d_{D[X]} = [d_D] \leq \langle d_D \rangle \leq (d_D)$  and  $\langle d_D \rangle$  (and  $[d_D]$ ) is a (semi)star operation on D[X], but in general  $(d_D)$  is not a (semi)star operation

on D[X]. Moreover,  $\langle d_D \rangle$ ,  $(d_D)$  (and  $[d_D]$ ) are stable semistar operations of finite type, since  $\langle d_D \rangle$  is defined by the two flat overrings D(X) and K[X] of D[X] and  $(d_D)$  is defined by a unique flat overring D(X) of D[X] (Proposition 11((6) and (7))).

(2) As observed above, the trivial semistar operation  $e_D$  on an integral domain D, with quotient field K, is defined by the family of a single overring  $\mathcal{K} := \{K\}$  of D, i.e.,  $e_D = \wedge_{\mathcal{K}}$ . Set

$$[e_D] := [\wedge_{\mathcal{K}}], \langle e_D \rangle := \langle \wedge_{\mathcal{K}} \rangle, (e_D) := (\wedge_{\mathcal{K}}).$$

Clearly,  $[e_D] = \langle e_D \rangle \leq (e_D) = e_{D[X]}$ , where  $[e_D] (= \langle e_D \rangle)$  is the stable semistar operation of finite type on D[X] defined by the flat overring K[X], i.e.,  $[e_D] = \langle e_D \rangle = \wedge_{\{K[X]\}} (= \star_{\{K[X]\}})$ .

We study now the important case in which the family of valuation overrings  $\mathcal{W}$  of D coincides with the family of all valuation overrings of D.

**Proposition 15.** Let  $\mathcal{V}$  be the family of all valuation overrings of an integral domain D with quotient field K. Note that  $\wedge_{\mathcal{V}}$  coincides with  $b_D$  (the b-operation on D; see Section 1). Set

$$[b_D] := [\wedge_{\mathcal{V}}], \langle b_D \rangle := \langle \wedge_{\mathcal{V}} \rangle, (b_D) := (\wedge_{\mathcal{V}}).$$

- (1)  $[b_D]$ ,  $\langle b_D \rangle$ , and  $(b_D)$  are semistar operations on D[X] with  $[b_D] \leq \langle b_D \rangle \leq$   $(b_D)$ . If D is integrally closed then  $[b_D]$  and  $\langle b_D \rangle$  are (semi)star operations on D[X]. In general,  $(b_D)$  is not a (semi)star operation on D[X] even if D is integrally closed (or, equivalently, even if  $b_D$  is a (semi)star operation on D).
- (2) If  $D \neq K$ , i.e. if D has at least one nontrivial valuation overring, then  $[b_D]$ ,  $\langle b_D \rangle$ , and  $(b_D)$  (respectively,  $[b_D]$  and  $\langle b_D \rangle$ ) are distinct extensions (respectively, distinct strict extensions) of  $b_D$ .
- (3)  $\langle b_D \rangle$  and  $\langle b_D \rangle$  are **ab** semistar operations such that

$$[b_D] \leq [b_D]_a = b_{D[X]} \leq \langle b_D \rangle \leq (b_D)$$

and, in general,  $[b_D]$  is not an eab semistar operation.

*Proof.* (1) and (2) follow from Proposition 11((1), (2) and (3)) and Corollary 12.

(3) Clearly,  $(b_D)$  is an ab semistar operation on D[X], since it is defined by the family of valuation overrings  $\mathcal{V}(X) := \{V(X) \mid V \in \mathcal{V}\}.$ 

Moreover, if  $\mathcal{U} := \{K[X]\}$  and  $\mathcal{U}' := \{K[X]_M \mid M \in \text{Max}(K[X])\}$ , then clearly  $\wedge_{\mathcal{U}} = \wedge_{\mathcal{U}'}$ . Therefore,  $\langle b_D \rangle = \langle \wedge_{\mathcal{V}} \rangle = (\wedge_{\mathcal{V}}) \bigwedge \wedge_{\mathcal{U}} = \wedge_{\mathcal{V}(X)} \bigwedge \wedge_{\mathcal{U}'}$  and, hence  $\langle b_D \rangle$  is also an ab semistar operation on D[X].

Note that  $b_{D[X]} \leq \langle b_D \rangle$  because  $\mathcal{V}(X) \bigcup \mathcal{U}'$  is a subset of the family of all valuation overrings of D[X].

Let W be a valuation overring of D[X] with maximal ideal N. Two cases are possible. If  $W \cap K = K$ , then  $K[X] \subseteq W$ , and hence  $W = K[X]_M$  for some maximal ideal M of K[X]. Next, if  $W \cap K \subsetneq K$ , then  $V := W \cap K$  is a valuation overring of D with nonzero maximal ideal n, and so W is a valuation overring of V[X]. If  $W \neq V(X) = V[X]_{n[X]}$  the maximal ideal N of W must contract on a maximal

ideal of V[X] upper to the maximal ideal n of V, i.e.,  $N \cap V[X] \supseteq n[X]$ . Therefore, we have necessarily that  $V[X] \subset W \subseteq V(X)$ . From the previous observations, we easily deduce that  $[b_D]_a = b_{D[X]}$ .

We next construct an integral domain D such that  $[b_D]$  is not an eab semistar operation. Let  $D:=\mathbb{R}+T\mathbb{C}[\![T]\!]$ , i.e., D is a pseudo-valuation domain with canonically associated valuation overring  $V:=\mathbb{C}[\![T]\!]$  and quotient field  $K:=\mathbb{C}(\!(T)\!)$ . Since  $\mathbb{R}\subset\mathbb{C}$  is a finite field extension the valuation overrings of D are just V and K, thus it is straightforward to see that that  $d_D \leq b_D = \wedge_{\{V\}}$  and

$$d_{D[X]} \leq [b_D] = \wedge_{\{V[X], \ K[X]\}} \leq b_{D[X]} \leq \langle b_D \rangle = \wedge_{\{V(X), \ K[X]\}} \leq \langle b_D \rangle = \wedge_{\{V(X)\}}$$
 (Proposition 11(3)). Moreover,  $[b_D]$  is not an eab semistar operation on  $D[X]$ , because if  $[b_D]$  (=  $\wedge_{\{V[X], \ K[X]\}} = \star_{\{V[X]\}}$ ) was an eab semistar operation on  $D[X]$ , since it is of finite type, then  $b_{D[X]} = (d_{D[X]})_a \leq [b_D] \leq b_{D[X]}$ , i.e.  $\star_{\{V[X]\}} = [b_D] = b_{D[X]}$ , which is a contradiction since  $V[X]$  is not a Prüfer domain.

In the next result, we provide another application of Proposition 11.

**Proposition 16.** Let  $\star$  be a semistar operation of an integral domain D with quotient field K and let X be an indeterminate over K. Set  $\mathcal{M} := \mathcal{M}(\star) := \{D_Q \mid Q \in \mathrm{QMax}^{\star_f}(D)\}$ . It is well known that, in this case,  $\wedge_{\mathcal{M}}$  coincides with  $\widetilde{\star}$ , the stable semistar operation of finite type associated to  $\star$ . Set

$$(\widetilde{\star}) := (\wedge_{\mathcal{M}}), \ \langle \widetilde{\star} \rangle := \langle \wedge_{\mathcal{M}} \rangle, \ and \ [\widetilde{\star}] := [\wedge_{\mathcal{M}}].$$

(1) For each  $A \in \overline{F}(D[X])$ ,

$$\begin{array}{ll} A^{\left[\widetilde{\star}\right]} = \bigcap \{AD_Q[X] \mid Q \in \mathrm{QMax}^{\star_f}(D)\}\,, \\ A^{\left(\widetilde{\star}\right)} = A\mathrm{Na}(D,\star) \cap AK[X]\,, \ and \quad A^{\left(\widetilde{\star}\right)} = A\mathrm{Na}(D,\star)\,. \end{array}$$

(2)  $[\widetilde{\star}], \langle \widetilde{\star} \rangle$ , and  $(\widetilde{\star})$  are stable semistar operations of D[X]; moreover,  $\langle \widetilde{\star} \rangle$  and  $(\widetilde{\star})$  are also of finite type. Therefore,

$$\left\langle \widetilde{\star} \right\rangle = \left\langle \widetilde{\star} \right\rangle_{\!_{f}} = \ \widetilde{\left\langle \widetilde{\star} \right\rangle} \ , \ \left( \widetilde{\star} \right) = \left( \widetilde{\star} \right)_{\!_{f}} = \ \widetilde{\left( \widetilde{\star} \right)} \ .$$

(3) For each  $E \in \overline{F}(D)$ ,

$$(ED[X])^{[\widetilde{\star}]} = E^{\widetilde{\star}}[X] = (ED[X])^{\langle \widetilde{\star} \rangle} \quad and \quad (ED[X])^{(\widetilde{\star})} = E\operatorname{Na}(D, \star);$$
and so

$$E^{\widetilde{\star}} = (ED[X])^{[\widetilde{\star}]} \cap K = (ED[X])^{\langle \widetilde{\star} \rangle} \cap K = (ED[X])^{(\widetilde{\star})} \cap K.$$

Proof. (1) and (2). Note that the semistar operation  $[\widetilde{\star}]$  (respectively,  $\langle \widetilde{\star} \rangle$ ;  $(\widetilde{\star})$  on D[X] is defined by the family of flat overrings  $\{D_Q[X] \mid Q \in \operatorname{QMax}^{\star_f}(D)\}$  (respectively,  $\{D_Q(X) \mid Q \in \operatorname{QMax}^{\star_f}(D)\} \cup \{K[X]\}$ ;  $\{D_Q(X) \mid Q \in \operatorname{QMax}^{\star_f}(D)\}$ ) of D[X] and so is a stable semistar operation of D[X]. The first equality in (1) is a transcription of the definition. The last two equalities in (1) are consequence of the fact that  $\bigcap \{AD_Q(X) \mid Q \in \operatorname{QMax}^{\star_f}(D)\} = A\operatorname{Na}(D,\star)$  (Proposition 2(2) or [11, Proposition 3.1(3)]). Finally, it is easy to see that  $(\widetilde{\star})$  (respectively,  $\langle \widetilde{\star} \rangle$  ) is a (stable) semistar operation of finite type, since it is defined by a unique flat overring of D[X], i.e.,  $\operatorname{Na}(D,\star)$  (respectively, by two flat overrings of D[X], i.e.,  $\operatorname{Na}(D,\star)$  and K[X]) (Proposition 11((6) and (7)).

(3) is an application of Proposition 11((4)) and (5).

It is natural to ask if, eventually,  $[\widetilde{\star}] \neq [\widetilde{\star}]_f$ . The answer to this question is negative (i.e.,  $[\widetilde{\star}]$  is also a semistar operation of finite type (and stable)). In order to show this fact, we deepen the study of the semistar operation  $[\widetilde{\star}]$  defined on D[X].

**Proposition 17.** Let D, X,  $\star$  and  $[\star]$  be as in Proposition 16. Then, for all  $A \in \overline{F}(D[X])$ ,

$$\begin{array}{ll} A^{\left[\widetilde{\star}\right]} &= \bigcup \{(A:F) \mid F \in \boldsymbol{f}(D) \ and \ F^{\widetilde{\star}} = D^{\widetilde{\star}} \} \\ &= \bigcup \{(A:H) \mid H \in \boldsymbol{f}(D), \ H \subseteq D, \ and \ H^{\widetilde{\star}} = D^{\widetilde{\star}} \} \,. \end{array}$$

In particular,  $[\widecheck{\star}]$  is a (stable) semistar operation of finite type on D[X] and so  $[\widecheck{\star}] = [\widecheck{\star}]_{\scriptscriptstyle{f}} = \widetilde{[\widecheck{\star}]}$ .

*Proof.* Set  $A_1 := \bigcup \{(A : F) \mid F \in \boldsymbol{f}(D) \text{ and } F^{\widetilde{\star}} = D^{\widetilde{\star}} \}$  and  $A_2 := \bigcup \{(A : H) \mid H \in \boldsymbol{f}(D), H \subseteq D, \text{ and } H^{\widetilde{\star}} = D^{\widetilde{\star}} \}$ . Clearly,  $A_2 \subseteq A_1$ .

We start by showing that  $A_1 \subseteq A^{[\widetilde{\star}]}$ . Note that  $F^{\widetilde{\star}} = D^{\widetilde{\star}}$  if and only if  $FD_Q = D_Q$  for all  $Q \in \operatorname{QMax}^{\star_f}(D) = \operatorname{QMax}^{\widetilde{\star}}(D)$ . If  $z \in A_1$ , then  $zF \subseteq A$  for some  $F \in f(D)$  and  $F^{\widetilde{\star}} = D^{\widetilde{\star}}$ , hence  $z \in zD_Q[X] = zFD_Q[X] \subseteq AD_Q[X]$  for all  $Q \in \operatorname{QMax}^{\star_f}(D)$  and so  $z \in A^{[\widetilde{\star}]}$ .

Now, we show that  $A^{[\widetilde{\star}]} \subseteq A_2$ . Let  $z \in A^{[\widetilde{\star}]} = \bigcap \{AD_Q[X] \mid Q \in \operatorname{QMax}^{\star_f}(D)\}$  and set  $I := \{d \in D \mid dz \in A\}$ . It is easy to see that I is an ideal of D (depending on A and z) and moreover  $I \not\subseteq Q$ , i.e.,  $ID_Q = D_Q$  for all  $Q \in \operatorname{QMax}^{\star_f}(D)$ . Since  $I^{\widetilde{\star}} = D^{\widetilde{\star}}$ , we can find  $H \in f(D)$  with  $H \subseteq I$  and  $H^{\widetilde{\star}} = D^{\widetilde{\star}}$ . Therefore  $zH \subseteq zI \subseteq A$  and so  $z \in A_2$ .

The last statement follows easily from Proposition 16(2) and from the fact that, if  $z \in A^{[\widetilde{\star}]} = \bigcup \{(A:F) \mid F \in \boldsymbol{f}(D) \text{ and } F^{\widetilde{\star}} = D^{\widetilde{\star}} \}$ , then  $zF_0 =: G_0 \subseteq A$  for some  $F_0 \in \boldsymbol{f}(D)$ , with  $F_0^{\widetilde{\star}} = D^{\widetilde{\star}}$ , and so  $G_0 \in \boldsymbol{f}(D)$ , and  $z \in (G_0:F_0) \subseteq G_0^{[\widetilde{\star}]} \subseteq A^{[\widetilde{\star}]_f}$ .

From Corollaries 8 and 12 and from Propositions 16 and 17, we easily obtain the following:

Corollary 18. Let  $\star$ ,  $\widetilde{\star}$ , D, K, X,  $[\widetilde{\star}]$ ,  $\langle \widetilde{\star} \rangle$ , and  $(\widetilde{\star})$  be as in Proposition 16. Let  $S(\star)$  and  $\circledast_{S(\star)}$  be as in Corollary 8. Assume that  $D_Q \subsetneq K$  for some  $Q \in \mathrm{QMax}^{\star_f}(D)$ .

- (1)  $[\widetilde{\star}]$ ,  $\langle \widetilde{\star} \rangle$ , and  $(\widetilde{\star})$  (respectively,  $[\widetilde{\star}]$ , and  $\langle \widetilde{\star} \rangle$ ) are distinct finite type stable semistar extensions to D[X] (respectively, distinct finite type stable semistar strict extensions) of  $\widetilde{\star}$ . Moreover,  $(\widetilde{\star})$  is the unique finite type stable semistar extension to D[X] of  $\widetilde{\star}$  defined by an extended saturated multiplicative set of D[X], i.e.,  $(\widetilde{\star}) = \circledast_{\mathcal{S}(\star)}$ , where  $\mathcal{S}(\star) = \mathcal{N}^{\star} := \{g \in D[X] \mid c_D(g)^{\star} = D^{\star}\}$ .
- (2)  $[\widetilde{\star}] \sim \langle \widetilde{\star} \rangle \sim (\widetilde{\star})$  and, moreover,  $[\widetilde{\star}] \approx \langle \widetilde{\star} \rangle$ , but neither  $[\widetilde{\star}]$  nor  $\langle \widetilde{\star} \rangle$  are strictly equivalent to  $(\widetilde{\star})$ .

**Remark 19.** G. Picozza [33] has studied a different approach for the extension to the polynomial ring D[X] of a semistar operation defined on an integral domain D. First, he proves the following [33, Propositions 3.1 and 3.2].

(a) Let  $\mathcal{F}$  be a localizing system of ideals of D and set:

$$\mathcal{F}[X] := \{ J \ ideal \ of \ D[X] \mid J \supseteq I[X] \ for \ some \ ideal \ I \ of \ \mathcal{F} \} \ .$$

- (a.1)  $\mathcal{F}[X]$  is a localizing system on D[X].
- (a.2)  $\mathcal{F}[X] = \{ J \text{ ideal of } D[X] \mid J \cap D \in \mathcal{F} \}.$
- (a.3) If  $\mathcal{F}$  is a localizing system of finite type of D, then  $\mathcal{F}[X]$  is a localizing system of finite type on D[X]

Then, he uses some of the results by Fontana and Huckaba recalled in Proposition 1. More precisely, if  $\mathcal{F}$  is a localizing system on D, Picozza considers the semistar operation  $\star_{\mathcal{F}[X]}$  on D[X] canonically associated to the localizing system  $\mathcal{F}[X]$  on D[X] introduced in (a). In particular, if  $\mathcal{F}$  is the localizing system associated to a given semistar operation  $\star$  defined on D, i.e.,  $\mathcal{F} = \mathcal{F}^{\star}$ , he considers the stable semistar operation on D[X] associated to the localizing system  $\mathcal{F}^{\star}[X]$ . Set  $\star[X] := \star_{\mathcal{F}^{\star}[X]}$  (Picozza denotes by  $\star'$  this semistar operation on D[X] [33, Theorem 3.3]).

We are now in a position to compare the semistar operations on the polynomial rings studied by Picozza and the semistar operation  $[\widetilde{\star}]$  introduced in Proposition 16. The following result improves [33, Proposition 3.4].

(b) Using the notation introduced above, then

$$\widetilde{[\star]} = \widetilde{\star}[X] = \widetilde{\star}[X] 
= \star_f[X] = \star[X]_f.$$

It is clear that  $\widetilde{\star}[X] = \star_{\scriptscriptstyle f}[X]$ , since:

$$\begin{split} \mathcal{F}^{\star_f} &= \{ I \text{ ideal of } D \mid I^{\star_f} = D^{\star_f} \} \\ &= \{ I \text{ ideal of } D \mid I \not\subseteq Q \text{ for all } Q \in \mathrm{QMax}^{\star_f}(D) \} \\ &= \{ I \text{ ideal of } D \mid I \not\subseteq Q \text{ for all } Q \in \mathrm{QMax}^{\widetilde{\star}}(D) \} \\ &= \{ I \text{ ideal of } D \mid I^{\widetilde{\star}} = D^{\widetilde{\star}} \} \\ &= \mathcal{F}^{\widetilde{\star}} \,. \end{split}$$

By Proposition 17, we know that  $A^{[\widetilde{\star}]} = \bigcup \{(A:H) \mid H \in \boldsymbol{f}(D), \ H \subseteq D \text{ and } H^{\widetilde{\star}} = D^{\widetilde{\star}} \}$  for all  $A \in \overline{\boldsymbol{F}}(D[X])$ . On the other hand (by definition of a semistar operation associated to a localizing system (Proposition 1(3)) and by (a.2)), we have  $A^{\widetilde{\star}[X]} = \bigcup \{(A:J) \mid J \text{ ideal of } D[X] \text{ such that } (J \cap D)^{\widetilde{\star}} = D^{\widetilde{\star}} \}$  for all  $A \in \overline{\boldsymbol{F}}(D[X])$ . Therefore, if  $z \in A^{[\widetilde{\star}]}$ , then  $z \in (A:H) = (A:H[X])$  for some finitely generated ideal H of D such that  $(H[X] \cap D)^{\widetilde{\star}} = D^{\widetilde{\star}}$ , thus  $z \in A^{\widetilde{\star}[X]}$ .

Conversely, let  $z \in A^{\widetilde{\star}[X]}$ . Therefore,  $z \in (A:J)$  for some ideal J of D[X] such that  $(J \cap D)^{\widetilde{\star}} = D^{\widetilde{\star}}$ . In this situation, we can find a finitely generated ideal H in D such that  $H \subseteq J \cap D$  and  $H^{\widetilde{\star}} = D^{\widetilde{\star}}$ . Since  $H \subseteq J$  then  $(A:J) \subseteq (A:H)$ , thus  $z \in (A:H)$  and so  $z \in A^{[\widetilde{\star}]}$ .

From the previous results, we deduce:

- (c)  $[d_D] = d_D[X] = d_{D[X]}$ .
- (d)  $[w_D] = w_D[X] = t_D[X] \le w_{D[X]} \le t_{D[X]}$ .

The statement (c) is a straightforward consequence of (b), since  $d_D = \widetilde{d_D} = d_{D,f}$  and the localizing system  $\mathcal{F}^{d_D}[X] = \{D[X]\} = \mathcal{F}^{d_{D[X]}}$ .

The equalities in (d) are obtained from (b) (and Proposition 16) by taking  $\star = v_D$  (and so,  $\widetilde{\star} = w_D$  and  $\star_f = t_D$ ). Moreover, it is always true that the w-operation is smaller than or equal to the t-operation. Finally, note that  $w_D[X]$  (respectively,  $w_{D[X]}$ ) is the stable (semi)star operation of finite type on D[X] canonically associated to the localizing system  $\mathcal{F}^{w_D}[X] = \{J \text{ ideal of } D[X] \mid J \supseteq I[X] \text{ with } I^{w_D} = D\}$  (respectively,  $\mathcal{F}^{w_D[X]} = \{J \text{ ideal of } D[X] \mid J^{w_{D[X]}} = D[X]\}$ ) [7, Theorem 2.10 (B)]. Since  $I^{w_D} = D$  implies that  $I^{w_D}[X] = D[X]$  and so also  $I[X]^{w_{D[X]}} = D[X]$  (see the proof of Lemma 5(7)), then  $\mathcal{F}^{w_D}[X] \subseteq \mathcal{F}^{w_{D[X]}}$ . From this, we conclude that  $w_D[X] \leq w_{D[X]}$ .

Note that, in (d), it may happen that  $[w_D] = w_D[X] \subsetneq w_{D[X]}$  (e.g., by [33, Remark 2], let Q be a prime ideal of D[X] not extended from D and such that  $Q \cap D$  is a  $t_D$ -maximal (=  $w_D$ -maximal) ideal of D; since  $(Q \cap D)[X] \subsetneq Q$  and  $(Q \cap D)[X]$  is a  $t_{D[X]}$ -maximal (=  $w_{D[X]}$ -maximal) ideal of D[X] ([24, Proposition 1.1] and [9, Corollary 3.5 (2)]), then Q is not a  $w_{D[X]}$ -(maximal) ideal, but clearly Q is a  $[w_D]$ -ideal).

**Remark 20.** (1) Using the techniques introduced in [4] and recalled in Remark 7, Sahandi [36, Theorem 2.1, Proposition 2.2 and Remark 2.3] has recently given another description of the stable semistar operation  $[\star]$ .

Let  $D_1 := D[X]$ ,  $K_1 := K(X)$ , Y an indeterminate over  $K_1$ , and consider the following subset of  $\operatorname{Spec}(D_1)$ :

$$\Delta_{\!\scriptscriptstyle 1} := \Delta_{\!\scriptscriptstyle 1,\star} := \left\{Q_{\!\scriptscriptstyle 1} \in \operatorname{Spec}(D_{\!\scriptscriptstyle 1}) \mid \operatorname{either} \, Q_{\!\scriptscriptstyle 1} \cap D = (0) \text{ or } (Q_{\!\scriptscriptstyle 1} \cap D)^{\star_{\!\scriptscriptstyle f}} \subsetneq D^{\star_{\!\scriptscriptstyle f}} \right\}.$$

Set

$$\mathcal{S}_{_{\! 1}}^{\sharp}:=\mathcal{S}_{_{\! 1,\star}}^{\sharp}:=\mathcal{S}^{\sharp}(\Delta_{_{\! 1,\star}}):=D_{_{\! 1}}[Y]\setminus\left(\bigcup\{Q_{_{\! 1}}[Y]\mid Q_{_{\! 1}}\in\Delta_{_{\! 1,\star}}\}\right)\,.$$

Clearly,  $\mathcal{S}_{1}^{\sharp}$  is an extended saturated multiplicative system of  $D_{1}[Y]$  and so we can consider the stable semistar operation of finite type on  $D_{1}$ ,  $\circlearrowleft_{\mathcal{S}_{1}^{\sharp}}$ , defined by setting for each  $A \in \overline{F}(D_{1}) = \overline{F}(D[X])$ :

$$A^{\circlearrowleft_{\mathcal{S}_1^{\sharp}}} := AD_1[Y]_{\mathcal{S}^{\sharp}} \cap K_1.$$

We have already observed in Remark 19(b) that  $[\widetilde{\star}]$  is the unique stable semistar operation on  $D_1$  (= D[X]) determined by the localizing system of finite type  $\mathcal{F}_1 := \mathcal{F}_{1,\star}^{\star} := \mathcal{F}^{\star_f}[X] = \mathcal{F}^{\widetilde{\star}}[X]$ . Moreover, the map  $\sigma: \mathcal{F}_1 \mapsto \mathcal{S}(\mathcal{F}_1) := D_1[Y] \setminus \bigcup \{P_1[Y] \mid P_1 \notin \mathcal{F}_1\}$  establishes a bijection between the set of the localizing systems of finite type on  $D_1$  and the extended saturated multiplicative systems of  $D_1[Y]$  and, under this map, the corresponding associated stable semistar operations of finite type on  $D_1$  coincide [4, Corollary 2.2]. Since it is straightforward that  $\Delta_{1,\star}$  coincides with the set  $\{P_1 \in \operatorname{Spec}(D_1) \mid P_1 \notin \mathcal{F}_{1,\star}\}$ , then clearly  $\mathcal{S}_{1,\star}^{\sharp}$  corresponds canonically to  $\mathcal{F}_{1,\star}$  under  $\sigma$ . Therefore  $[\widetilde{\star}]$  coincides with  $\circlearrowleft_{\mathcal{S}_1^{\sharp}}$ . Moreover, we also have  $\operatorname{Na}(D_1, [\widetilde{\star}]) = D_1[Y]_{\mathcal{S}_1^{\sharp}}$  or, equivalently,  $\mathcal{S}_1^{\sharp} = \{0 \neq g_1 \in D_1[Y] \mid \mathbf{c}_{D_1}(g_1)^{[\widetilde{\star}]} = D_1^{[\widetilde{\star}]}\} =: \mathcal{N}_1^{[\widetilde{\star}]}$  [4, Theorem 2.1((c) and (d))].

Let  $\nabla_{1,\star}$  be the set of the maximal elements of  $\Delta_{1,\star}$ .

It is easy to see that

$$\begin{split} \nabla_{\scriptscriptstyle{1,\star}} &= \{Q_{\scriptscriptstyle{1}} \in \operatorname{Spec}(D_{\scriptscriptstyle{1}}) \mid & \text{either} \quad Q_{\scriptscriptstyle{1}} \cap D = (0) \text{ and } \boldsymbol{c}_{D}(Q_{\scriptscriptstyle{1}})^{\star_{f}} = D^{\star_{f}} \\ & \text{or} \quad Q_{\scriptscriptstyle{1}} \cap D \in \operatorname{QMax}^{\star_{f}}(D) \} \,, \end{split}$$

since a prime ideal  $Q_1 \in \operatorname{Spec}(D_1)$  such that  $Q_1 \cap D = (0)$  is not contained in any ideal of the type Q[X] with  $Q \in \operatorname{QMax}^{\star_f}(D)$  if and only if  $c_D(Q_1)^{\star_f} = D^{\star_f}$ .

For the sake of simplicity, set  $\star_1 := \circlearrowleft_{\mathcal{S}_1^{\sharp}} (= [\widetilde{\star}])$ . Then, by the previous remarks, we can conclude that  $\operatorname{QMax}^{\star_1}(D_1) = \nabla_{1,\star}$  [4, Theorem 2.1(e)].

Putting together the previous information with Proposition 16(1), for all  $A \in \overline{F}(D[X])$ , we have:

$$\bigcap \{AD_Q[X] \mid Q \in \operatorname{QMax}^{\star_f}(D)\} = A^{\left[\widecheck{\star}\right]} = \bigcap \{AD[X]_{Q_1} \mid Q_1 \in \nabla_{1,\star}\} 
= AD[X,Y]_{\mathcal{N}_1^{\left[\widecheck{\star}\right]}} \cap K(X) 
= A\operatorname{Na}(D[X], \left[\widecheck{\star}\right]) \cap K(X).$$

In particular, for all  $E \in \overline{F}(D)$ ,

$$\begin{split} E\mathrm{Na}(D,\star) \cap K &= E^{\widetilde{\star}} = E^{\widetilde{\star}}[X] \cap K \\ &= (E[X])^{[\widetilde{\star}]} \cap K \\ &= (E\mathrm{Na}(D[X], [\widetilde{\star}]) \cap K(X)) \cap K \\ &= E\mathrm{Na}(D[X], [\widetilde{\star}]) \cap K \,. \end{split}$$

(2) Like  $[\widetilde{\star}]$ , also  $\langle \widetilde{\star} \rangle$ , and  $(\widetilde{\star})$  are finite type stable semistar operations on  $D_1 := D[X]$  (Propositions 16(2) and 17) then, for the following multiplicative subsets of  $D_1$ ,

$$\begin{array}{l} \mathcal{S}_{\mathbf{l}}^{\sharp}(\left\langle\widetilde{\star}\right\rangle) := \mathcal{N}_{\mathbf{l}}^{\left\langle\widetilde{\star}\right\rangle} := \left\{0 \neq g_{\mathbf{l}} \in D_{\mathbf{l}}[Y] \mid \mathbf{c}_{D_{\mathbf{l}}}(g_{\mathbf{l}})^{\left\langle\widetilde{\star}\right\rangle} = D_{\mathbf{l}}^{\left\langle\widetilde{\star}\right\rangle}\right\}, \\ \mathcal{S}_{\mathbf{l}}^{\sharp}(\left(\widetilde{\star}\right)) := \mathcal{N}_{\mathbf{l}}^{\left(\widetilde{\star}\right)} := \left\{0 \neq g_{\mathbf{l}} \in D_{\mathbf{l}}[Y] \mid \mathbf{c}_{D_{\mathbf{l}}}(g_{\mathbf{l}})^{\left(\widetilde{\star}\right)} = D_{\mathbf{l}}^{\left(\widetilde{\star}\right)}\right\}, \end{array}$$

and, for all  $A \in \overline{F}(D[X])$ , using also Proposition 16(1), we have:

$$\begin{split} A^{\left\langle \widetilde{\star} \right\rangle} &= AD[X,Y]_{\mathcal{N}_{1}^{\left\langle \widetilde{\star} \right\rangle}} \cap K(X) = A\mathrm{Na}(D[X],\left\langle \widetilde{\star} \right\rangle) \cap K(X) \\ &= A\mathrm{Na}(D,\star) \cap AK[X]\,, \\ A^{\left(\widetilde{\star}\right)} &= AD[X,Y]_{\mathcal{N}_{1}^{\left(\widetilde{\star}\right)}} \cap K(X) = A\mathrm{Na}(D[X],\left(\widetilde{\star}\right)) \cap K(X) \\ &= A\mathrm{Na}(D,\star). \end{split}$$

In particular, for all  $E \in \overline{F}(D)$ , we have:

$$E\mathrm{Na}(D,\star) \cap K = E^{\widetilde{\star}} = E^{\widetilde{\star}}[X] \cap K = (E[X])^{\langle \widetilde{\star} \rangle} \cap K = E\mathrm{Na}(D[X],\langle \widetilde{\star} \rangle) \cap K = (E[X])^{(\widetilde{\star})} \cap K = E\mathrm{Na}(D[X],\langle \widetilde{\star} \rangle) \cap K,$$

with  $(E[X])^{(\tilde{\star})} = E \text{Na}(D, \star).$ 

Note that, by the previous descriptions of  $\langle \widetilde{\star} \rangle$  and  $(\widetilde{\star})$ , we have:

$$P_1 \in \operatorname{QSpec}^{\langle \widetilde{\star} \rangle}(D[X]) \Leftrightarrow P_1 \operatorname{Na}(D, \star) \cap P_1 K[X] \cap D[X] = P_1,$$
  
 $P_1 \in \operatorname{QSpec}^{\langle \widetilde{\star} \rangle}(D[X]) \Leftrightarrow P_1 \operatorname{Na}(D, \star) \cap D[X] = P_1.$ 

Since Na( $D, \star$ ) =  $D[X]_{\mathcal{N}^{\star}}$ , where  $\mathcal{N}^{\star} := \{g \in D[X] \mid 0 \neq g \text{ and } \mathbf{c}_{D}(g)^{\star} = D^{\star}\}$ , then:

$$\operatorname{QSpec}^{(\widetilde{\star})}(D[X]) = \{ P_1 \in \operatorname{Spec}(D[X]) \mid \boldsymbol{c}_D(P_1)^{\star_f} \subsetneq D^{\star} \text{ or } P_1 \cap D = (0) \}, \\ \operatorname{QSpec}^{(\widetilde{\star})}(D[X]) = \{ P_1 \in \operatorname{Spec}(D[X]) \mid \boldsymbol{c}_D(P_1)^{\star_f} \subsetneq D^{\star} \}.$$

Therefore, since  $Max(Na(D, \star)) = \{Q[X] \mid Q \in QMax^{\star_f}(D)\}$  [11, Proposition 3.1((2) and (3))], we can conclude that

$$\begin{split} \operatorname{QMax}^{\langle \widetilde{\star} \rangle}(D[X]) &= \{Q_{\scriptscriptstyle 1} \in \operatorname{Spec}(D[X]) \mid Q_{\scriptscriptstyle 1} = Q[X] \text{ for some } Q \in \operatorname{QMax}^{\star_f}(D)\} \ \cup \\ &\quad \{(0) \neq Q_{\scriptscriptstyle 1} \in \operatorname{Spec}(D[X]) \mid Q_{\scriptscriptstyle 1} \cap D = (0) \text{ and } \boldsymbol{c}_D(Q_{\scriptscriptstyle 1})^{\star_f} = D^{\star}\} \ , \\ \operatorname{QMax}^{(\widetilde{\star})}(D[X]) &= \{Q_{\scriptscriptstyle 1} \in \operatorname{Spec}(D[X]) \mid Q_{\scriptscriptstyle 1} = Q[X] \text{ for some } Q \in \operatorname{QMax}^{\star_f}(D)\} \ . \end{split}$$

We already observed that the construction described in Remark 20(1) is a modification of a previous construction due to Chang and Fontana [4, Theorem 2.3]. More precisely, Chang and Fontana considered the following subset of Spec $(D_1)$ :

$$\begin{array}{ll} \Delta_1' := \Delta_{1,\star}' := \{Q_1 \in \operatorname{Spec}(D_1) \mid & \text{either} \quad Q_1 \cap D = (0) \quad \text{or} \\ Q_1 = (Q_1 \cap D)[X] \text{ and } (Q_1 \cap D)^{\star_f} \subseteq D^{\star}\} \,. \end{array}$$

Then, they considered the following associated extended saturated multiplicative system in  $D_1[Y]$ :

$$\mathcal{S}_{\scriptscriptstyle 1}' := \mathcal{S}_{\scriptscriptstyle 1,\star}' := \mathcal{S}(\Delta_{\scriptscriptstyle 1,\star}') := D_{\scriptscriptstyle 1}[Y] \setminus \left(\bigcup \{Q_{\scriptscriptstyle 1}[Y] \mid Q_{\scriptscriptstyle 1} \in \Delta_{\scriptscriptstyle 1,\star}'\}\right)$$

and the stable semistar operation of finite type of  $D_1$  defined by

$$A^{\circ_{S'_{1,\star}}} := AD_{\scriptscriptstyle 1}[Y]_{\mathcal{S}'} \cap K_{\scriptscriptstyle 1}, \quad \text{for all } A \in \overline{F}(D_{\scriptscriptstyle 1}).$$

They proved that, when  $\star$  is the v-operation (or the t-operation, or the w-operation) on D, then  $\circlearrowleft_{\mathcal{S}'_1}$  coincides with the w-operation of D[X] [4, Theorem 2.3(f)]. (Note that, in that paper, the authors denoted the semistar operation  $\circlearrowleft_{S'_{i,+}}$  of D[X] by  $[\star]$ ; we avoid now this notation, since we already use it here with a different meaning.)

**Proposition 21.** Let  $\star$  be a semistar operation defined on an integral domain D. Let  $\star'_1 := \circlearrowleft_{S'_1}$  be the stable semistar operation of finite type on D[X] defined above and let  $\star_1$  be the semistar operation defined in Remark 20(1) (i.e.,  $\star_1 := \circlearrowleft_{\mathcal{S}_1^{\sharp}} = [\widetilde{\star}]$ ).

- (1)  $\left[\widetilde{\star}\right] = \star_{1} \leq \star'_{1}$ . (2)  $\star'_{1} = \langle \widetilde{\star} \rangle$ .

*Proof.* (1) follows easily from the fact that  $\Delta_{1,\star} \supseteq \Delta'_{1,\star}$  or, equivalently,  $S_1^{\sharp} \subseteq S'_1$ .

(2) We know that  $QMax^{\star'_1}(D_1) = \{Q_1 \in Spec(D_1) \mid Q_1 \cap D = (0) \text{ and } c_D(Q_1)^{\star_f} = (0) \}$  $D^*$ }  $\cup$  { $Q[X] \mid Q \in \mathrm{QMax}^{*_f}(D)$ } [4, Theorem 2.3(e)]. On the other hand, by what we observed in Remark 20(2),

$$\operatorname{QMax}^{\star'_1}(D[X]) = \operatorname{QMax}^{\langle \widetilde{\star} \rangle}(D[X]),$$

and so, since  $\star'_1$  and  $\langle \widetilde{\star} \rangle$  are both stable semistar operations of finite type, we conclude that  $\star'_1 = \langle \widetilde{\star} \rangle$ .

As a final remark (with the notation of the present paper), note that in [4, Corollary 2.5(1)] the authors prove that D is a Prüfer  $\star$ -multiplication domain if and only if D[X] is a Prüfer  $\langle \widetilde{\star} \rangle$ -multiplication domain. On the other hand, it is not true that D is a Prüfer  $\star$ -multiplication domain if and only if D[X] is a Prüfer  $[\tilde{\star}]$ -multiplication domain (take, for instance, D a Prüfer domain, but not a field, and  $\star = d_D$ , in this case  $[d_D] = [d_D] = d_{D[X]}$  and, obviously, D[X] is not a Prüfer domain). This fact justifies the terminology used in [4], where the authors call the semistar operation denoted here by  $\langle \widetilde{\star} \rangle$  the stable semistar operation of finite type canonically associated to  $\star$ .

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